

The brain can modulate various functions of the gut, as well as the perception of gut stimuli, via a set of parallel outflow systems that are referred to as the emotional motor system (EMS), which include the sympathetic and parasympathetic branches of the autonomic nervous system, the hypothalamic-pituitary-adrenal (HPA) axis, and endogenous pain-modulation systems. Activation of the EMS can occur via interoceptive and exteroceptive stressors. The enteric microbiota is likely to interact with gut-based effector systems and with visceral afferent pathways, which establish a bidirectional brain-gut-enteric microbiota axis¹⁹.

Dysregulation of gut microbiota-brain axis related to depression

The human body can respond to stress via the autonomic nervous system and hypothalamic-pituitary-adrenal (HPA) axis²⁰. In response to stress, the hypothalamus secretes corticotropin releasing hormone (CRH) to control the anterior pituitary to secrete adrenocorticotrophic hormone (ACTH). ACTH plays an important role in stimulating the adrenal cortex to secrete cortisol hormone, which causes the sympathetic

nervous system to respond. This reaction leads to stimulating the adrenal medulla to secrete epinephrine. The process by which the human body responds to stress is known as stress resilience²¹⁻²².

Chronic stress causes stress resilience imbalance resulting in increased neuropsychiatric disorders including depression²³. Pathogenesis of MDD has been associated with excessive stimulation of the HPA axis that causes hypothalamus to increasingly secrete CRH, which stimulates the anterior pituitary to secrete ACTH, leading to increased cortisol hormone from the adrenal cortex²⁴. High levels of cortisol hormone caused by chronic stress can stimulate inflammation of the central nervous system, which reduces neurotrophin brain-derived neurotrophic factor (BDNF) levels, leading to decreased neuroplasticity and neurogenesis³. This reaction affects brain formation process²⁵.

In autonomic nervous system, high level of cortisol hormone increases norepinephrine secretion from adrenal cortex that stimulate inflammation. At the same time, norepinephrine over secretion leads to monoamine neurotransmitters e.g., serotonin (5-hydroxytryptamine), adrenaline and dopamine decrease²⁶. For the immune

system, inflammation process reduces natural killer cell (NK cell) and lymphocyte cell productions whereas support cytokines e.g., tumor necrosis factor- α (TNF- α), interleukin-1 beta (IL-1 β) and interleukin-6 (IL-6). These cytokines can move via blood brain barrier and react with immune cell types resident in the brain such as astrocyte, microglia and other neurons. Previous study described an association between MDD and microglial activation. These finding suggest that in MDD had higher circulating monocyte that link to brain inflammation activation. Moreover, inflammatory state in the central nervous system can signal to digestive system via the tenth cranial nerve (vagus nerve) that lead to gut dysbiosis²⁷.

Inflammation and chronic stress increase the risk of noncommunicable diseases such as obesity, diabetes and cardiovascular disease so patients with long term MDD have a high risk for noncommunicable diseases. On the other hand, diabetic patients have high risk to develop MDD because they have low grade inflammation³.

Recent findings provide strong evidence for the presence of bidirectional communication networks between the gut microbiota and the central nervous system

(CNS). Stress has an effect on the gut microbiome. High levels of cortisol hormone cause gut barrier dysfunction and gut microflora reduction that promotes lipopolysaccharide (LPS) from pathogens moving through blood circulation. LPS induces proinflammatory cytokine secretion and transfers to the CNS, leading to brain inflammation. Stress also stimulates the sympathetic nervous system to secrete catecholamine neurotransmitters e.g., epinephrine and norepinephrine that have an effect on the gut microbiome and immune system, which leads to gut microbiome dysbiosis and an increase of inflammatory cells, such as monocytes expressing high levels of Ly6C (Ly6chi monocytes) and neutrophils, or of proinflammatory mediators, such as IL-1b, IL-6, and tumor necrosis factor alpha²⁸.

Another mechanism by which the gut microbiome affects depression is the regulation of tryptophan metabolism²⁹. The beneficial bacteria indirectly impact tryptophan availability and serotonin synthesis by decreasing the activity of enzymes responsible for tryptophan degradation along the kynurenine pathway³⁰. Kynurenine is produced from tryptophan by the action of the hepatic-based enzyme, tryptophan-2,3-dioxygenase (TDO) or the

ubiquitous indoleamine-2,3-dioxygenase (IDO)³¹. TDO can be induced by glucocorticoids or indeed tryptophan itself, whereas IDO is influenced by certain inflammatory stimuli. Once kynurenine is produced, it is further metabolized along two distinct arms of the pathway with one leading to the production of the neuroprotective kynurenic acid (alpha 7 nicotinic acetylcholine receptor antagonist and N-methyl-d-aspartate (NMDA) receptor antagonist at glycine site) and the other to the neurotoxic quinolinic acid (a NMDA receptor agonist)³². The balance between these two metabolites is important in health and disease. Kynurenic acid (KYNA), which can be neuroprotective against quinolinic acid (QUIN) induced excitotoxicity, can also induce cognitive impairment when abnormally elevated. Kynurenine formed in the periphery can cross the blood-brain-barrier and is the main source of CNS kynurenine. This is likely due to its effects on the alpha 7-nicotinic acetylcholine receptor³³. Plasma kynurenine increases are thought to be a reliably reflected in the CNS³⁴. The impact of increased tryptophan metabolism along the kynurenine pathway can then be viewed through the dual lens of reduced availability for serotonin synthesis and increasing the downstream production of

neurotoxic/neuroprotective metabolites. Accumulating evidence implicates the gut microbiota in the regulation of kynurenine pathway metabolism. This is thus a humoral route through which the gut microbiota can influence mood and cognition at the level of the CNS as well as local gastrointestinal (GI) function.

Association between probiotic and changed in mood, stress and anxiety

Gut microbiota dysbiosis is one of the important risks MDD³⁵. The use of probiotics as an alternative or adjuvant treatment for relieving symptoms of MDD, anxiety and solving gut microbiome imbalance could be a critical turning point in the management of the disorder³⁶. There are effective treatments for depression such as behavioral activation, cognitive behavioral therapy (CBT) and interpersonal psychotherapy (IPT), or antidepressant medication such as selective serotonin reuptake inhibitors (SSRIs) and tricyclic antidepressants (TCAs). The physiological effects of most antidepressants occur immediately after administration of the drug but the therapeutic effect can take weeks or long time to become apparent in those seeking relief from symptoms. Some patients

discontinue antidepressants use because they have the side effects before the drugs start to become effective³⁷. Using probiotics to improve MDD symptoms can eliminate some of these barriers for effective treatment. They are also called psychobiotics that refer to live bacteria. When ingested in appropriate amounts, they may confer a mental health benefits by affecting the microbiota of the host organism³⁸⁻³⁹. However, psychobiotics is a new word. A large number of studies including this article still use the word probiotics. Nowadays, many studies are finding that probiotics have mental benefits in humans and animals.

Most of MDD patients have the gut microbiome composition different from the healthy people⁴⁰. At the phylum level, studies showed that in MDD patients have the number of gut microbiome phylum *Firmiculate*, *Bacteroidetes*, *Actinobacteria*, *Proteobacteria* and *Fusobacteria* abnormal growth⁹. At the family and genus level, the data from a systemic review and meta-analysis reviewed that abundances of family *Prevotellaceae* and genus *Coprococcus* and *Faecalibacterium* were decreased in MDD patient compared to non-depressant control¹⁰. It is unclear whether a taxon may keep a stable population within the host. It depends on genetic and environmental factors e.g.,

age, diet, stress, etc. Family *Prevotellaceae* has a major role to produce short chain fatty acid that involved to tryptophan metabolism and BDNF gene expression⁴¹. The research about the relationship of microbiome feature and host quality of life. Butyrate-producing *Faecalibacterium* and *Coprococcus* bacteria were consistently associated with higher quality of life indicators. The result revealed that genus *Coprococcus* depletion in depression has relative with patient quality of life⁴². Reduction of genus *Faecalibacterium* lead to the low level of SCFA production. *Faecalibacterium prausnitzii* (ATCC 27766) supplementation in mice that were induced mild depression-like and anxiety like behavior showed significantly higher levels of SCFAs and levels of cytokines IL-10 in the plasma that prevented the effects on corticosterone, C-reaction protein and cytokines IL-6 release⁴³. Randomized, doubled-blind, placebo-controlled study showed that the intake of probiotics genus *Lactobacillus casei* Shirota in chronic fatigue syndrome volunteers leads to promote production of probiotics family *Lactobacillus* and *Bifidobacteria* in GI tract. In addition, volunteers who intake probiotics have anxiety symptoms less than placebo group⁴⁴.

Gut microbiota dysbiosis in depressive patients causes abnormal

neurotransmitters and neuronal proteins secretion which effect on mood and feeling. Many evidences supported that using probiotic can restore gut microbiome balance that led to improve emotional state. Studies about emotional state demonstrate that consuming yogurt or probiotics capsule have health benefits in petroleum workers. The result of emotional assessment reported that the intervention groups receive yogurt consisting of probiotics genus *Lactobacillus acidophilus* LA5 and *Bifidobacterium lactis* BB12 or probiotics capsule consist of *Lactobacillus casei*, *L. acidophilus*, *L. rhamnosus*, *L. bulgaricus*, *Bifidobacterium breve*, *B. longum* and *Streptococcus thermophilus* for six weeks feel happier than placebo group⁴⁵. Study in healthy volunteers showed that intake probiotics products include *Bifidobacterium bifidum* W23, *Bifidobacterium lactis* W52, *Lactobacillus acidophilus* W37, *Lactobacillus brevis* W63, *Lactobacillus casei* W56, *Lactobacillus salivarius* W24 and *Lactococcus lactis* W19, W5 for four weeks feel sadness less than placebo group⁴⁶. In randomized, double-blind, placebo-controlled clinical trial in MDD patients reported that supplement probiotic capsule which consist of *Lactobacillus acidophilus*, *Lactobacillus casei* and *Bifidobacterium bifidum* can

improve emotional and the severity of depression⁴⁷.

Stress and anxiety are the major symptoms that found in MDD patients. These symptoms can be caused by gut microbiome dysbiosis and/or abnormal brain function to response stress. Many studies showed that supplement probiotics in healthy volunteers and MDD patients can improve stress and anxiety. Michael, et al. indicated that healthy volunteers received probiotics genus *Lactobacillus helveticus* R0052 and *Bifidobacterium longum* R0175 for thirty days can reduce anxiety symptoms and depressed feeling⁴⁶. Another research show that using *Lactobacillus helveticus* R0052 and *Bifidobacterium longum* R0175 can reduce psychological distress and cortisol hormone in urine. This research concludes that probiotics reduce stress by control cortisol hormone⁴⁹. Study from meta-analysis revealed that probiotics had significant effect on depressive symptoms just in patients with depression, and no significant change in anxiety in patients, and no improvement in participant performance under stress⁵⁰.

There were some studies about probiotic in Thailand. Klayraung et al. (2008) investigated probiotic properties of *Lactobacilli* isolated from Thai traditional

food, including properties relevant to probiotic action, e.g., resistance to acid, bile tolerance, adhesive properties, antibacterial activity, and antibiotic susceptibility. From genus-specific PCR, all of selected isolates were identical to *Lactobacillus* species with various probiotic action⁵¹. Another study focused on the development yoghurt mixed with probiotic *L. casei* and roselle syrup and to study their properties which could be an alternative functional food for the consumers. The results showed that the survival of *L. bulgaricus*, *S. thermophilus* and *L. casei* decreased throughout the storage period but different levels of roselle syrup had no effect on the growth of probiotic *L. casei*. It supported that the yoghurt could be claimed for human health⁵². In addition, association between probiotic and human health were described. Tangpolkaiwalsak et al. evaluated the efficacy of probiotics supplementation in the prevention of necrotizing enterocolitis (NEC) among very low birth weight preterm infants. Infants in the study group were fed Infloran® (*Lactobacillus acidophilus* 1x10⁹ and *Bifidobacterium bifidum* 1x10⁹ organisms) dose 125 mg/kg/dose twice a day with breast milk or premature formula from the start of feeding until 6 weeks or discharge. There was no difference in

incidence of NEC stage >2 between the two groups⁵³. However, no reports with clinical and metabolic response to probiotic administration in patients with major depressive disorder in Thai population.

Conclusion

Probiotics treatment may improve MDD symptoms by improving gut microbiome dysbiosis, increasing neurotransmitters availability and level of neuronal proteins and/or decreasing level of inflammatory markers (Figure 2). Probiotics can be used as an alternative treatment for depression to eliminate the side effect from antidepressant medicine. A large number of studies in humans and animals indicated that probiotics consumption has mental benefits that reduce stress, negative emotion, anxiety state and depression. Although there are many Thai food that have probiotic characteristics, there have been limited studies about the effect of probiotic on psychological outcomes. In conclusion this review may support the benefits of probiotics in MDD patients and inspire researchers to do the study about the effect of Thai probiotic foods on emotion or psychological outcomes.

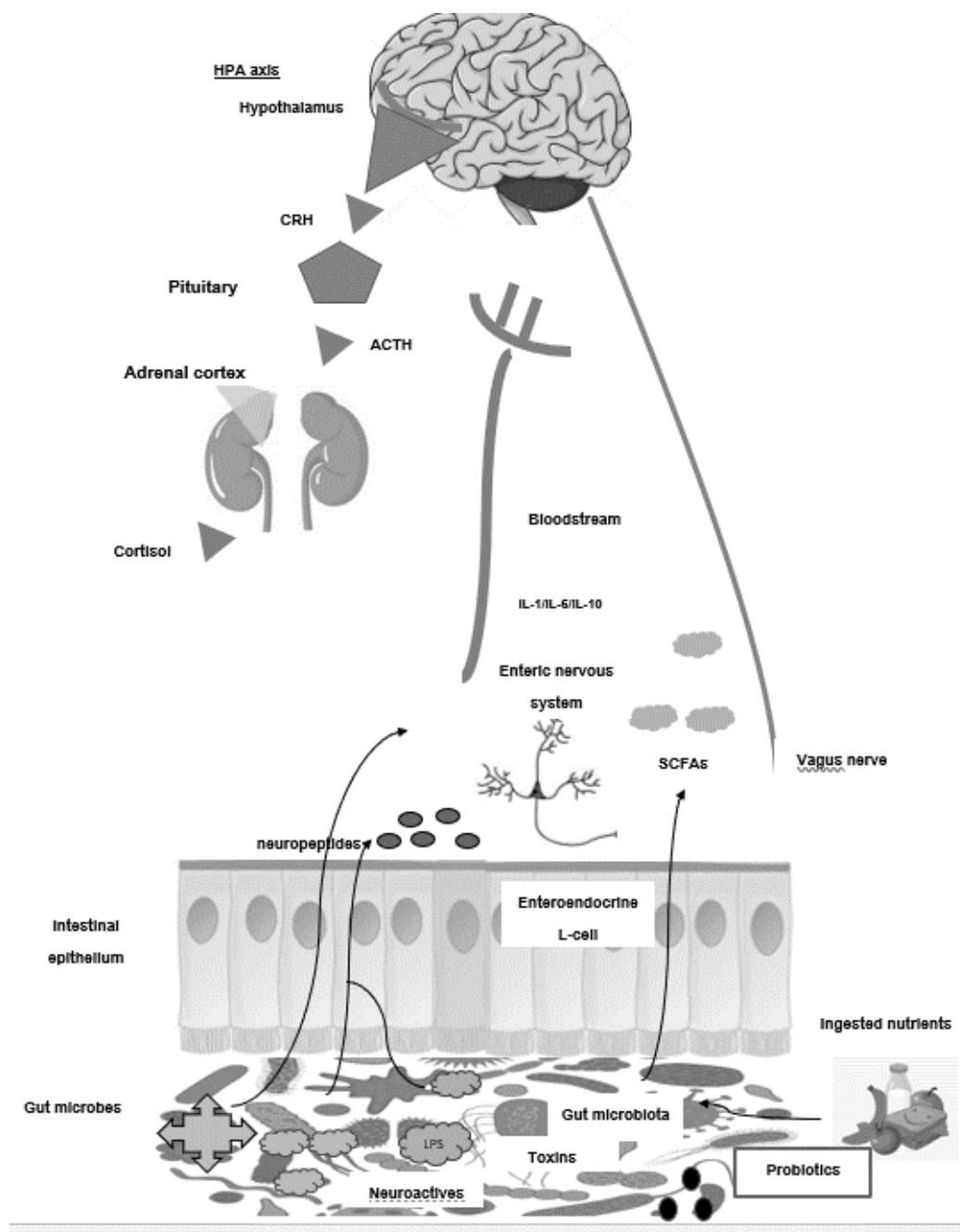


Figure 2. The brain-gut-microbiota axis in health and disease. The routes of communicate between gut and brain include neural, humoral, and immune pathways. Gut dysbiosis leads to altered immunology, activation of the HPA, and altered levels of SCFAs and tryptophan, together with aberrant signaling through the vagus nerve. Probiotics have the potential to normalize such processes. HPA = hypothalamic-pituitary-adrenal axis; SCFAs = short-chain fatty acids; CRH = corticotropin-releasing hormone; ACTH = adrenocorticotropic hormone; IL = interleukin

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Conflict of interest

The authors declare that there is no conflict of interest.

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