

Fungal Spores from Neogene Sediments of the Hongsa Basin, Lao PDR

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Received: 16 November 2022; Accepted: 1 May 2023

ABSTRACT. – Fungal spores in Neogene organic-rich sediments from the Hongsa coalfield in northwestern Lao PDR were studied in detail. Fungal spores and the significance of palynological associations are employed for interpreting depositional environment and paleoclimate. The palynological assemblages, recovered from 34 samples, were rich and diversified, consisting mainly of pollen grains of vascular plants, spores of pteridophytes, and fungal spores. Of all 67 fungal taxa from 22 genera identified in this study, 21 are Amerosporae, 20 Phragmosporae, 18 Didymosporae, and 8 Dictyosporae. Although diversified, they yielded low to very low frequencies compared to total palynomorphs. Most palynological and fungal spores in this investigation indicate a Miocene age of the deposits. The presence of *Dyadosporites*, *Brachysporisporites*, *Diporicellaesporites*, *Pluricellaesporites*, and *Dictyosporites* of sub-tropical to warm temperate forests associated with a large number of pteridophytes and evergreen to deciduous vegetation can reinforce the hypothesis of terrestrial origin in a humid and warm climate. Variations in relative abundance and diversity of fungal spores in this study indicate paleoenvironmental fluctuations during deposition, which also conform to the climate interpreted from each palynological zone.

KEYWORDS: fossil fungal spores, depositional environment, paleoenvironment, paleoclimate, Hongsa coalfield

INTRODUCTION

Fungal remain is one of the non-pollen palynomorphs (NPPs) which is generally found in preparations of palynology (Kalgutkar and Jansonium, 2000; Shumilovskikh et al., 2021). However, they have received far less attention than palynomorphs of vascular plants (Taylor et al., 2015) and are perhaps being ignored in the reports. Although their remains have been reported since the Paleozoic, they became more widespread in terms of abundance and diversity during the Paleocene to Pliocene (Elsik, 1976; Elsik, 1996; Premaor et al., 2018). Various distinctive fungal spores have been compared to their extant affinities to modern genera (Kalgutkar and Sigler, 1995; Premaor et al., 2018) and can be used to interpret paleoecological conditions and climatic oscillations during the time the fungi were fossilized. The descriptive morphology of NPPs combined with their possible assignment to extant taxa and discussions on their stratigraphic position can be used as paleoecological indicators (Shumilovskikh and van Geel, 2020).

Recently, the study of fungal remains of different ages and areas all around the world as a proxy has become an area of growing interest (e.g., Ediger and Alişan, 1989; Kumar, 1990; Singh and Chauhan, 2008; Geel et al., 2011; Tripathi, 2011; Musotto et al., 2012; Atfy et al., 2013; Guimarães et al., 2013; Martínez et al., 2016; O'Keefe, 2017; Calhim et al., 2018; Kumar, 2018; Premaor et al., 2018; Nuñez Otaño et al., 2021; Romero et al., 2021). Moreover, the study of fossil fungi is important for understanding biodiversity changes, especially during the middle Miocene Climate Optimum (MMCO) which was the warmest interval of

the last 23 million years (Romero et al., 2021). According to Sattraburut et al. (2021a), the Hongsa sediments were assigned to be of middle to late Miocene. Nevertheless, no detailed palynological studies on fossil fungi have been carried out from the Hongsa sediments nor from other Lao PDR areas. Thus, this paper aims to present the first detailed report of fossil fungi in the Hongsa Basin and its relevance to the paleoenvironment and paleoclimatic studies.

Geological Setting and Stratigraphy

The Hongsa Basin lies in Xayabouly Province in northwest Lao PDR. It is located at latitudes 19°39'N and 19°43'N and longitudes 101°14'E and 101°24'E. It lies about 40 km northeast of the Huai Kon-Muang Nguen border checkpoint (Figs. 1a and 1b). The basin is roughly triangular and covers approximately 60 km² between northern Thailand and southern China. The tectono-stratigraphic evolution of the Hongsa Basin is related to the Indian and Eurasian collision in the Middle Eocene (around 45 Ma), and subsequent events during the early Cenozoic Era in Southeast Asia. Numerous half-graben basins were formed as a result of extensional stress due to strike-slip movements dominating during the Eocene to Oligocene (Burri, 1989; Hall and Morley, 2003; Friederich et al., 2016). The uncertain time frame of sedimentation of the Hongsa Basin may have begun between the Oligocene to Pliocene (Morley and Racey, 2011) because of the failure to find any mammalian fossils to define the age of deposition.

The northern flank of the Hongsa Basin is bound by the ENE-WSW trend of the fault set, while the western flank is bound by the NW-SE trend of a normal fault. The basement rocks of the basin are predominantly red Mesozoic clastic terrigenous sedimentary rocks, mainly

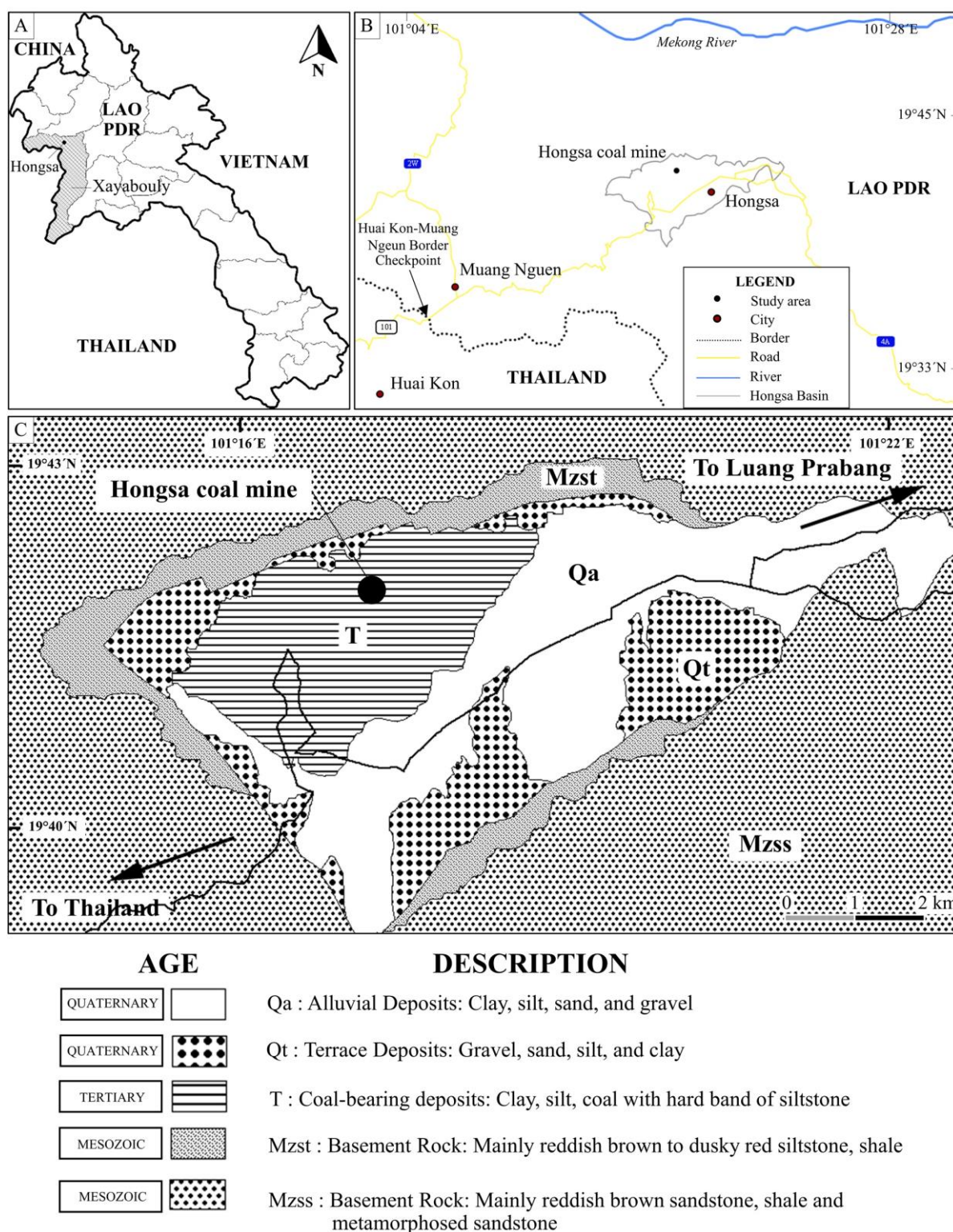


FIGURE 1. Study site. (a) Political map of Lao PDR. The study area is in Xayabouly Province. (b) Location map of the Hongsa Basin with the position of the Hongsa coal mine indicated. (c) Detailed geologic map of the Hongsa area (modified from Hofmann et al., 2008).

sandstone and siltstone (Fig. 1c). Neogene and Quaternary sediments unconformably overlie the Mesozoic basement rocks. The coal-bearing Neogene sediments comprise light grey silt and clays with some

siltstone hard bands, reaching over 100 m in the cumulative thickness of coal. Quaternary sediments are alluvial and terrace deposits from the Mekong River, consisting mainly of clays, silt, sand, and gravel.

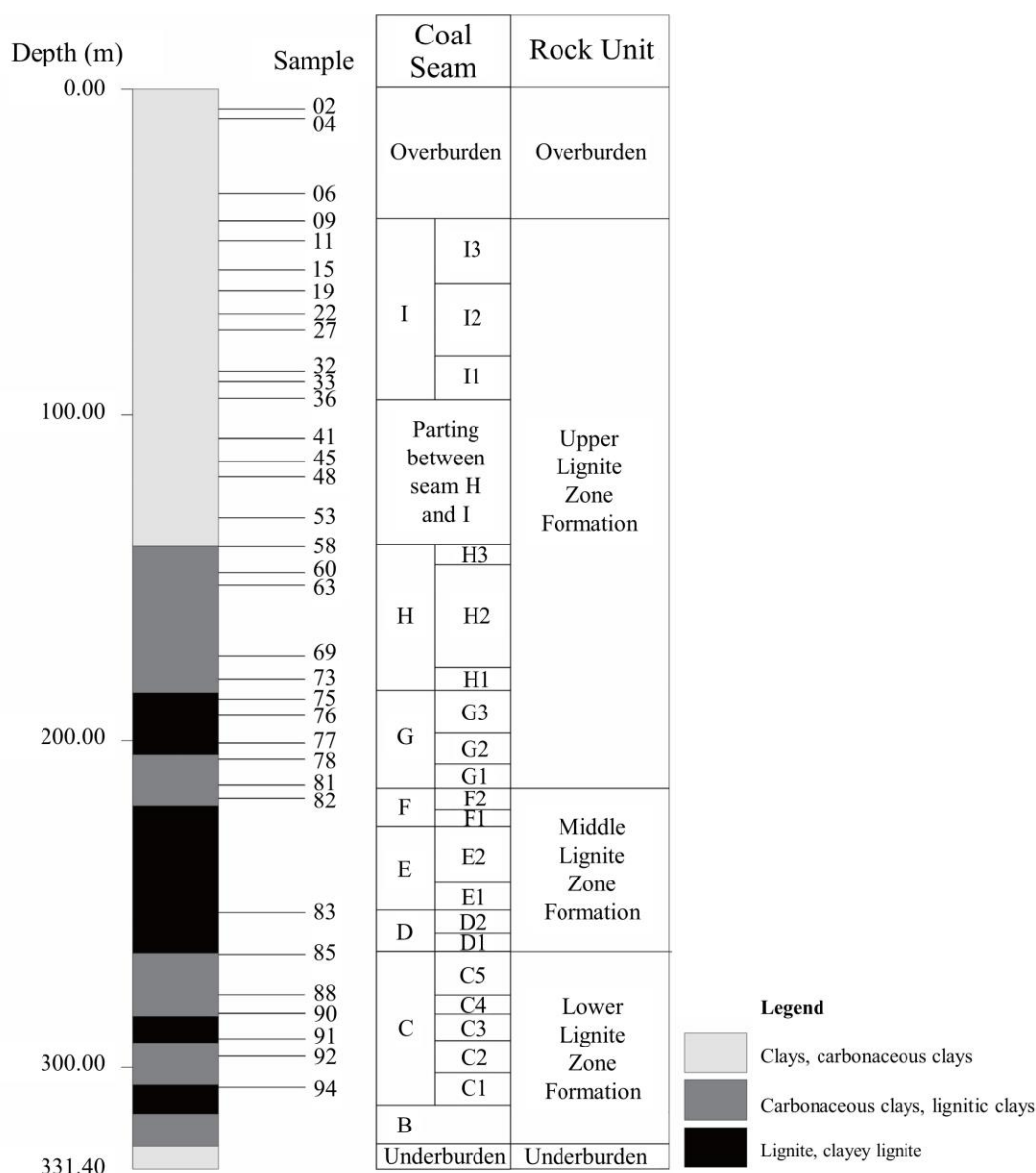


FIGURE 2. Simplified lithological profile showing the stratigraphic position of selected samples in a drill core.

The Hongsa coal deposit is regarded as an important energy resource of Laos. The coal mine has been operated by Hongsa Power Co. Ltd. since 2015. Coals of lignite B to lignite A in rank have been excavated and supplied to three mine-mouth thermal power plants. The Hongsa coal deposit consists of Neogene fine-grained terrigenous sediments and coal seams that had been brought up to the surface by tectonism, partially eroded, and partially covered by recent fluvial sediments. The main stratigraphic work in this deposit was developed by Hofmann et al. (2008), involving the rock unit and coal seam subdivisions. The sedimentary rock units are divided from the lowermost to uppermost units as the Underburden, Lower Lignite Zone Formation, Middle Lignite Zone Formation, Upper Lignite Zone Formation, and Overburden, respectively (Fig. 2). Nine coal seams were named A to

I units from bottom to top. Each unit was split into numerous sub-seams. Geological structures in the Hongsa coal deposit are quite complex, with folds, normal and reverse faults, and inversion faults typically recognized.

Organic-rich sediments were deposited in an anoxic freshwater mire or forest swamp in the seasonal tropical forest, with a moderate amount of sediment supplied by low-energy water flow (Sattraburut et al., 2021b). Palynological investigation suggests that the Hongsa coal deposit witnessed a climatic change from a warmer subtropical to a cooler warm temperate condition during the middle to late Miocene. During deposition, the forest was dominated by broad-leaved trees, along with a variety of ferns on the forest floor, indicating the high humidity of shaded growth (Sattraburut et al., 2021a).

MATERIALS AND METHODS

Thirty-four samples of a drill core collected from the central part of the Hongsa coal mine were chosen for the study. The core was provided by Hongsa Power Co. Ltd. The samples consisted mainly of dark grey carbonaceous clays, with some minor carbonaceous silty clays. They were collected at varying intervals from a total core length of 331.40 m in every sub-coal seam, spaced approximately 10 m apart (Fig. 2). Before collecting the samples, the exposed surface of the cores was scraped to remove the oxidized and weathered material.

The physical and chemical extraction of non-pollen palynomorphs was carried out using standard palynological techniques modified from Traverse (1988), Faegri and Iversen (1989), Moore et al. (1991), and Wood et al. (1996). Palynological treatments were conducted at the Northeastern Research Institute of Petrified Wood and Mineral Resources (In Honour of His Majesty the King), Nakhon Ratchasima Rajabhat University, Thailand. The methodology involved grinding and removing inorganic fractions by treating samples with hydrochloric acid and hydrofluoric acid, without using oxidation methods. Residues from each sample were then sieved using 150 µm nylon filters. Fungal spores and palynomorphs retained on each sieve were separately mounted in colorless glycerine jelly for microscopic studies.

The taxonomic study was carried out using a transmitted-light microscope at the Department of Geological Sciences, Faculty of Science, Chiang Mai University, Thailand, under a magnification of 400x. Fungi were described, compared, and classified according to relevant literature (mainly Ediger and Alişan, 1989; Kumar, 1990; Kalgutkar and Jansonius, 2000; Martínez et al., 2016; Premaor et al., 2018). The artificial morphological system (Saccardo System) was adopted for classification, based on the number of cells, disposal of septa, and the presence of apertures (Pirozynski and Weresub, 1979). This study adopted classifications at the genus and species level from Kalgutkar and Jansonius (2000). Biostratigraphic ranges were applied according to Kalgutkar and Jansonius (2000), Saxena and Tripathi (2011), and Saxena et al. (2021).

RESULTS

Palynofloral investigation of the Hongsa sediments was reported by Sattraburut et al. (2021a). The assemblages of palynomorphs and NPPs are diversified

through the stratigraphic analysis intervals, composed predominantly of pollen grains of angiosperms and gymnosperms, spores of pteridophytes, and fungal spores. The percentage of palynomorphs and fungal spores recorded along the interval of 331.40 m is presented in Fig. 3. The taxonomic list of fungal species identified in this study is presented in Table 1. The stratigraphic distribution of the taxa in each level is shown in Fig. 4, and photomicrographs of the recorded taxa which are presented in Plates 1 to 3 (Appendix).

The studied samples yielded well-preserved fungal spores in low to deficient numbers (0.25–13.86%, mean 2.02%) compared to other palynomorphs such as pollen grains and spores. Generally, all levels of the selected intervals are characterized by a few occurrences of fossil fungi. The only exception is sample 04 at 10.25 m from the Overburden, where fungal spores exist in significantly higher amounts than in the other samples, comprising 13.86% of total palynomorphs.

Abundant fungal spores of various forms, ranging from unicellular to multicellular structures of different sizes and shapes with variable frequencies, were found throughout the sediment intervals. The fungal assemblages recovered from the Hongsa Basin consisted of 67 species placed into 22 genera, divided into four spore groups. The Phragmosporae group, characterized by three or more cells with two or more transverse septa, had the highest number of genera, including 20 species from 9 genera. The Amerosporae group, which is unicellular with or without aperture, included 21 species from 7 genera. The Didymosporae group, consisting of two-celled forms, comprised 18 species from 4 genera, while this study identified 8 species belonging to 2 genera of the Dictyosporae group.

The Phragmosporae group possesses the highest number of fungal taxa, including *Diporicellaesporites*, *Multicellaesporites*, and *Pluricellaesporites*. *Diporicellaesporites* are elongate, diporate, and multicellate fungal spores. *Multicellaesporites* are inaperturate and usually consist of 3 to 5 cells with a longitudinal slit or furrow. *Pluricellaesporites* are monoporate and have 3 or more cells that are symmetrical or nearly symmetrical around the long axis. *Dicellaesporites* are prominent among Didymosporae. They are inaperturate, isopolar, and two-celled. Dictyosporae or muriform spores are inaperturate and variable in shape. Most Dictyosporae in this study belong to the genus *Dictyosporites*. They are multicellular, inaperturate, and all have a brownish coloration.

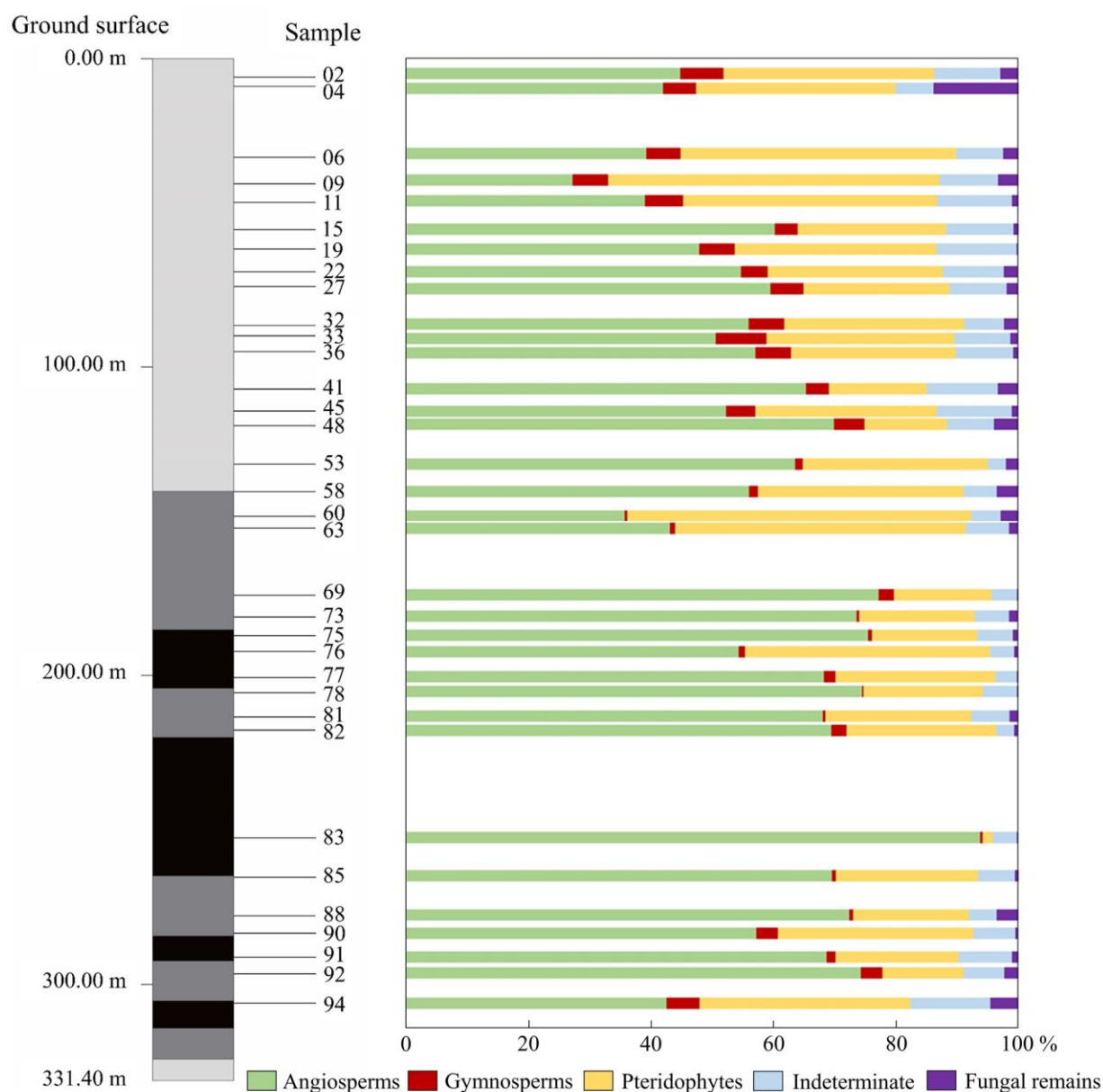


FIGURE 3. Percentage diagram of angiosperms, gymnosperms, indeterminate, and fungal spores in the Hongsa Basin.

DISCUSSION

Age

A large number of fossil fungi are not specific to any age, such as *Fusiformisporites* (Martínez-Hernández and Tomasini-Ortiz, 1989), *Diporicellaesporites* (Zhang, 1980; Kalgutkar and Braman, 2008; Saxena and Tripathi, 2011), and *Hypoxylonites* (Elsik, 1990). The presence of most fungal spores in this study suggests that the deposits are of Miocene age, which is consistent with the age assigned by previous palynological investigations (Sattraburut et al., 2021a). This indicates that the Hongsa sediments were deposited during the middle to late Miocene. This result corresponds to the time-stratigraphic correlation of sedimentary basins in

northern Thailand and southern China, which are nearby the Hongsa Basin. According to various palynological studies, the ages of the northern Thailand basins range from the late Oligocene to middle Miocene, while the southern China basins are middle Miocene to Pliocene in age (Wang, 1996; Songtham, 2000; Songtham et al., 2003, 2005; Wang, 2006; Xu et al., 2008; Sepulche et al., 2009; Yao et al., 2011; Grote, 2015).

However, our study found inconsistencies in the ages of a few fungal taxa. For instance, *Fractisporonites canalis*, which consists of chains of fungal spores and hyphal fragments consisting of 8 or more cells, had been found during the late Cretaceous but was absent in the Paleogene.

TABLE 1. Fossil fungal taxa identified and illustrated in this study.

Group and species	Plates and figs.
Amerosporae	
<i>Diporisorites ellipsoides</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (a)
<i>Diporisorites elongatus</i> van der Hammen (1954)	Plate 1, fig. (b)
<i>Exesisporites neogenicus</i> Elsik (1969)	Plate 1, figs. (c), (d)
<i>Exesisporites</i> sp. cf. <i>E. annulatus</i> Kalgutkar (1993)	Plate 1, fig. (e)
<i>Hypoxylonites africanus</i> Kalgutkar and Jansonius (2000)	Plate 1, figs. (j), (k)
<i>Hypoxylonites ellipsoideus</i> Kalgutkar and Jansonius (2000)	Plate 1, figs. (m), (n), (o)
<i>Hypoxylonites fusiformis</i> Elsik (1990)	Plate 1, fig. (r), (s)
<i>Hypoxylonites gulfensis</i> Elsik (1990)	Plate 1, fig. (l)
<i>Hypoxylonites ovalis</i> Elsik (1990)	Plate 1, fig. (q)
<i>Hypoxylonites</i> sp. Elsik (1990)	Plate 1, fig. (p)
<i>Hypoxylonites</i> sp. cf. <i>H. curvatus</i> Elsik (1990)	Plate 1, fig. (t)
<i>Inapertisporites circularis</i> Kalgutkar and Jansonius (2000)	Plate 1, figs. (f), (g)
<i>Inapertisporites elongatus</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (h)
<i>Inapertisporites ovalis</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (i)
<i>Monoporisorites neoglobosus</i> Kalgutkar and Jansonius (2000)	Plate 1, figs. (u), (v)
<i>Monoporisorites oviformis</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (w)
<i>Monoporisorites</i> sp. van der Hammen (1954)	Plate 1, figs. (x), (y)
<i>Monoporisorites</i> sp. cf. <i>M. abruptus</i> Sheffy and Dilcher (1971)	Plate 1, fig. (z)
<i>Spirotrimesporites</i> sp. cf. <i>S. disciformis</i> Elsik (1990)	Plate 1, figs. (aa), (ab), (ac)
<i>Striadiporites bistriatus</i> Ke and Shi (1978)	Plate 1, figs. (ad), (ae)
<i>Striadiporites reticulatus</i> Varma and Rawat (1963)	Plate 1, figs. (af), (ag)
Didymosporae	
<i>Dicellaesporites aculeolatus</i> Sheffy and Dilcher (1971)	Plate 1, fig. (ah)
<i>Dicellaesporites elongatus</i> Ramanujam and Rao (1978)	Plate 1, fig. (ai)
<i>Dicellaesporites littoralis</i> Salard-Cheboldaeff and Locquin (1980)	Plate 1, fig. (am)
<i>Dicellaesporites perelongatus</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (aj)
<i>Dicellaesporites</i> sp. Elsik (1968)	Plate 1, fig. (ak)
<i>Dicellaesporites</i> sp. cf. <i>D. africanus</i> Salard-Cheboldaeff and Locquin (1980)	Plate 1, fig. (al)
<i>Didymoporisporonites discotypicus</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (an)
<i>Didymoporisporonites panshanensis</i> Ke and Shi (1978)	Plate 1, fig. (ao)
<i>Dyadosporites hilatus</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (ap)
<i>Dyadosporites inaequalis</i> Kalgutkar (1993)	Plate 1, fig. (aq)
<i>Dyadosporites okayi</i> Kalgutkar and Jansonius (2000)	Plate 1, figs. (ar), (as)
<i>Dyadosporites reticulatus</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (au)
<i>Dyadosporites subovalis</i> Kalgutkar and Jansonius (2000)	Plate 1, fig. (at)
<i>Fusiformisporites elongatus</i> Ramanujam and Rao (1978)	Plate 1, fig. (av)
<i>Fusiformisporites lineolatus</i> Sheffy and Dilcher (1971)	Plate 1, fig. (az)
<i>Fusiformisporites mackenziei</i> Parsons and Norris (1999)	Plate 1, fig. (ba)
<i>Fusiformisporites rugosus</i> Sheffy and Dilcher (1971)	Plate 1, fig. (ax)
<i>Fusiformisporites</i> sp. Rouse (1962)	Plate 1, figs. (aw), (ay)
Phragmosporae	
<i>Anatolinites</i> sp. Elsik et al. (1990)	Plate 2, fig. (a)
<i>Brachysporisorites</i> sp. Lange and Smith (1971)	Plate 2, fig. (b)
<i>Diporicellaesporites acuminatus</i> Sheffy and Dilcher (1971)	Plate 2, fig. (c)
<i>Diporicellaesporites ellipticus</i> Zhang (1980)	Plate 2, fig. (h)
<i>Diporicellaesporites</i> sp. Elsik (1968)	Plate 2, figs. (d), (e), (f), (g)
<i>Fractisporonites canalis</i> Clarke (1965)	Plate 2, fig. (i)
<i>Fractisporonites</i> sp. Clarke (1965)	Plate 2, fig. (j)
<i>Multicellites fusus</i> Kalgutkar and Jansonius (2000)	Plate 2, fig. (k)
<i>Multicellites grandiusculus</i> Kalgutkar and Jansonius (2000)	Plate 2, figs. (l), (m)
<i>Multicellites</i> sp. Kalgutkar and Jansonius (2000)	Plate 2, figs. (n), (o)
<i>Multicellaesporites</i> sp. Elsik (1968)	Plate 2, fig. (p)
<i>Pluricellaesporites patagonicus</i> Martínez et al. (2016)	Plate 2, fig. (q)
<i>Pluricellaesporites</i> sp. Elsik and Jansonius (1974)	Plate 2, fig. (u)
<i>Pluricellaesporites</i> sp. cf. <i>P. delicatus</i> Ke and Shi (1978)	Plate 2, fig. (r)
<i>Pluricellaesporites</i> sp. cf. <i>P. psilatus</i> (Clarke 1965)	Plate 2, fig. (t)
<i>Pluricellaesporites</i> sp. cf. <i>P. serratus</i> Sheffy and Dilcher (1971)	Plate 2, fig. (s)
<i>Quilonia multicellata</i> Kalgutkar and Jansonius (2000)	Plate 2, fig. (v)
<i>Quilonia</i> sp. Jain and Gupta (1970)	Plate 2, fig. (w)
<i>Quilonia</i> sp. cf. <i>Q. attenuata</i> Kalgutkar and Jansonius (2000)	Plate 2, fig. (x)
<i>Scolecosporites</i> sp. Lange and Smith (1971)	Plate 2, figs. (y), (z), (aa), (ab), (ac), (ad)
Dictyosporae	
<i>Dictyosporites moruloides</i> Kalgutkar and Jansonius (2000)	Plate 3, fig. (a)
<i>Dictyosporites ovalis</i> Kalgutkar and Jansonius (2000)	Plate 3, figs. (b), (c)
<i>Dictyosporites</i> sp. Felix (1894)	Plate 3, figs. (d), (e)
<i>Dictyosporites</i> sp. cf. <i>D. dictyosus</i> Kalgutkar and Jansonius (2000)	Plate 3, fig. (g)
<i>Dictyosporites</i> sp. cf. <i>D. ovoideus</i> Salard-Cheboldaeff and Locquin (1980)	Plate 3, fig. (h)
<i>Dictyosporites</i> sp. cf. <i>D. symmetricus</i> Kalgutkar and Jansonius (2000)	Plate 3, fig. (i)
<i>Dictyosporites tirumalacharii</i> Kalgutkar and Jansonius (2000)	Plate 3, fig. (f)
<i>Staphlosporonites irregularis</i> Kalgutkar and Jansonius (2000)	Plate 3, fig. (j)

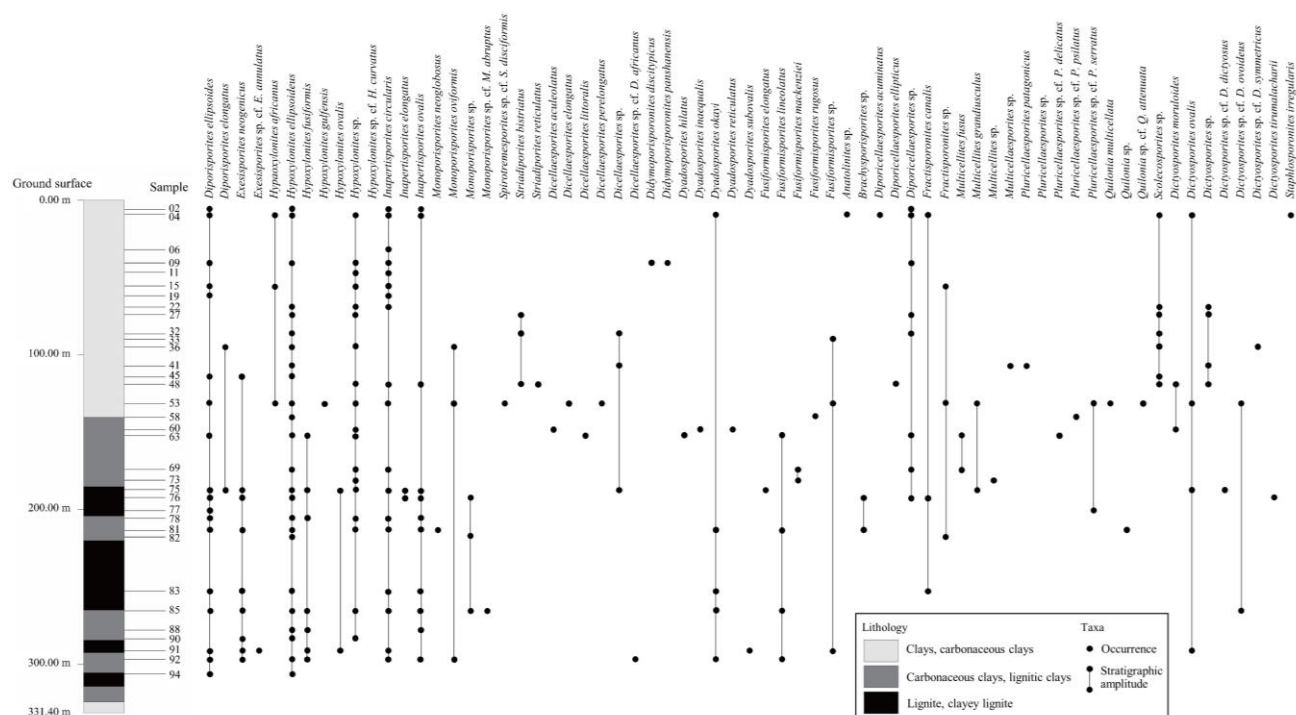


FIGURE 4. Stratigraphic distribution of fungal taxa in the Hongsa basin.

The tabular structure of *Fractisporonites canalis* possibly indicates the presence of a parasitic fungus (Kalgutkar and Jansonius, 2000) or closely resembles the external spore formation known as actinomycetes (Hermann and Podkovyrov, 2008). They can survive under extreme conditions (Trenozhnikova and Azizan, 2018) and are prominently found in soil, water bodies, and plant remains (Bhatti et al., 2017). Furthermore, some fungal taxa (e.g., *Diporisorites ellipsoides*, *Dictyosporites ovalis*, *Inapertisporites circularis*, *Inapertisporites elongatus*, *Monoporisporites abruptus*) have only been recorded in sediments from the middle to late Eocene. Based on the ages assigned to these fossil fungal spores, it can be presumed that some of the fungal taxa were reworked or transported from other areas of deposition, possibly the Paleogene bedrock boundary of the Hongsa Basin. This is also consistent with the various colors of sporomorphs of ferns reported in the basin (Sattraburut, 2020), which were interpreted as in situ and reworked, indicating that some of the sediment influx was derived from other areas of deposition and probably different ages. The presence of recycled sporomorphs and fungal spores in the Hongsa sediments suggests that the material making up the Miocene sediments in this area was partly derived from the Paleogene rocks. The

petrography of immature sandstone and siltstone from Miocene strata also shows poorly sorted and subangular grains, suggesting that the source area was not far from the basin (Sattraburut, 2020).

Depositional environment and paleoclimate

Fossil fungi are suitable as reliable proxies to understand the depositional environment and paleoclimate. Although fungi commonly suggest diverse ecological trends, certain fossil fungal spores in sediments can be useful in determining the depositional environment and paleoclimate based on the ecological implications compared to their extant taxa with known environmental conditions (Atfy et al., 2013). Fossil fungi occur in both terrestrial and aquatic environments, but the terrestrial group prevails due to their greater distribution, diversity, and frequency. Most fungal taxa are dependent on vascular plants for their existence, and although they are reported to be associated with soil, air, and water, fungi actually derive their nutrition from plant material (Cannon and Hawksworth, 1995). The assemblages in this study suggest terrestrial taxa, such as *Dicellaesporites*, *Diporicellaesporites*, *Multicellaesporites*, and *Pluricellaesporites*. They generally occur as saprophytes, living on dead or decaying organic matter.

Epoch		Depth (m)	Lithology	Coal Seam	Rock Unit	Palynological assemblage zone	Fungal taxa		Paleoenvironment					
Miocene	Late	0.00 m		Overburden	Overburden	Hongsa III (warm temperate)		<i>Hypoxylonites africanus</i> <i>Striadiporites bistriatus</i> <i>Didymoporisporonites discitipicus</i> <i>Didymoporisporonites panshanensis</i> <i>Anatolinites</i> sp. <i>Diporicellaesporites acuminatus</i> <i>Multicellaesporites</i> sp. <i>Pluricellaesporites patagonicus</i> <i>Scolecosporites</i> sp. <i>Dictyosporites</i> sp. <i>Dictyosporites</i> sp. cf. <i>D. symmetricus</i> <i>Staphlosporonites irregularis</i>	Overbank deposit and deposits filling lakes or swamps					
		I		I3	Upper Lignite Zone Formation									
				I2										
				I1										
		Middle	100.00 m		Parting between seam H and I					Upper Lignite Zone Formation	Hongsa II (subtropical)		<i>Diporisporites ellipsoids</i> <i>Hypoxylonites ellipsoideus</i> <i>Hypoxylonites</i> sp. <i>Inapertisporites circularis</i> <i>Inapertisporites ovalis</i> <i>Dyadosporites okayi</i>	<i>Multicellites fusus</i> <i>Multicellites grandiusculus</i> <i>Multicellites</i> sp. <i>Pluricellaesporites</i> sp. cf. <i>P. delicatus</i> <i>Pluricellaesporites</i> sp. cf. <i>P. psilatus</i> <i>Pluricellaesporites</i> sp. cf. <i>P. serratus</i> <i>Quilonia multicellata</i> <i>Quilonia</i> sp. <i>Quilonia</i> sp. cf. <i>Q. attenuata</i>
	H		H3											
			H2											
	200.00 m			H1	Middle Lignite Zone Formation									
				G3										
			G	G2										
				G1										
			F	F2										
				F1										
			E	E2										
				E1										
				D	D2									
					D1									
	300.00 m			C	Lower Lignite Zone Formation	Hongsa I (warm temperate)		<i>Exesisporites</i> sp. cf. <i>E. annulatus</i> <i>Monoporisporites</i> sp. cf. <i>M. abruptus</i> <i>Dicellaesporites</i> sp. cf. <i>D. africanus</i> <i>Dyadosporites subovalis</i>	Wet-forest environment or moor					
										C5				
			C4											
			C3											
		B	C2											
			C1											
				Underburden	Underburden									
									Lacustrine					

FIGURE 5. The paleoenvironmental interpretation and stratigraphic distribution of selected fungal species compared with palynological zones in the Hongsa Basin (modified after Sattraburut et al., 2021a; Sattraburut, 2020). The interpretation of paleoenvironment is based on the integration of lithological, coal petrological, and palynological data.

Some fossil fungi, such as *Dyadosporites*, *Brachysporisporites*, *Diporicellaesporites*, *Pluricellaesporites*, and *Dictyosporites*, can be compared with modern hyphomycetes. Most of the spores in this study are similar to the conidia of extant hyphomycetes. These hyphomycetes grow as dematiaceous saprotrophs in decaying and dead woods, plant debris, litter, or other organic remains on the ground floor beneath the forest canopy under suitable humidity and temperature (Kalgutkar and Braman, 2008).

The existence of *Exesisporites* indicates a warm climate during the deposition (Elsik, 1969). In this study, a high number of *Hypoxylonites* are reported. *Hypoxylonites* are commonly found in deposits around the world (Elsik, 1981). They are usually known from rocks or sediments that reflect cooling environments (Elsik, 1990) or a warm-humid to temperate forest (Martínez et al., 2016). *Inapertisporites circularis*, which is found through the selected intervals, may have been associated with woods of *Fagus* (Soomro et al., 2010) or parasitized vascular plants of humid grassland such as freshwater swamp (Kirk et al., 2008). *Inapertisporites* also are a good indicator of high

precipitation (Dilcher, 1965). *Monoporisporites*, *Quilonia*, and *Striadiporites* are usually found in shallow pond-like habitats (Santos, 2008; Atfy et al., 2013).

Fossil spores of *Brachysporisporites* are commonly compared with the extant genus *Brachysporium* (Réblová and Seifert, 2004), which is a saprotrophic, dematiaceous hyphomycete. Some anamorphic relationships between *Brachysporisporites* and *Monotosporella* have also been considered (Ellis, 1959; Sadowski et al., 2012). *Monotosporella* is frequently found growing on decaying wood submerged in freshwater (Tsui et al., 2001). However, it can also be found in woody plants outside of aquatic habitats (Raja et al., 2007). Spores of *Multicellites* are broadly found in the Eocene aquatic angiosperm rhizome *Eorhiza arnoldii* (Robinson and Person, 1973).

Overall, the rich diversity of fungal spores in the Hongsa sediments suggests that the climate was warm and humid during the time of sediment deposition, which is in consistent with the palynomorphs previously recorded in this area (Sattraburut et al., 2021a). The fungal spores were associated with pteridophyte spores, and there was a very high relative

abundance of angiosperm palynomorphs in the Hongsa sediments. These findings support the hypothesis that the sediments were deposited in a sub-tropical to warm temperate evergreen and deciduous forest where saprotroph fungi were predominant. The deposits were likely from freshwater lakes or swamps where both lowland and highland vegetation thrived, possibly in the vicinity of the basin.

Changes in the relative abundance and diversity of fungal remains can also indicate paleoenvironmental fluctuations (Kloosterboer-van Hove et al., 2006). Sattraburut et al. (2021a) reported that three palynological zones in the Hongsa Basin, divided from bottom to top as Hongsa I, II, and III (Fig. 5). The vegetation of the Hongsa Basin during the Hongsa II consisted of more tropical to subtropical floras than the Hongsa I and III zones, which were assigned to warm temperate vegetation. While some fungal taxa were presented throughout the selected sediment intervals, some taxa only existed within specific palynological zones (Fig. 5).

In addition, it is noteworthy that fossil fungal spores in the Hongsa I and III zones are less diverse and have lower relative abundance than those in the Hongsa II zone. Both temperature and moisture are important factors in explaining fungal abundance and richness (Talley et al., 2002). Fungi are usually more abundant in tropical forests than in other ecosystems (Tedesoo et al., 2014). Consistent with our study, the Hongsa II zone, which is characterized by a subtropical climate, exhibits greater diversity and abundance than the Hongsa I and III zones. This supports the presence of specific fungal taxa and their richness being associated with the climate inferred from the palynological zones within the Hongsa Basin.

Paleoenvironment interpretation

The deposition in the Hongsa Basin began with the Underburden, which is composed of inorganic clay-sized sediments in the deeper part of the basin. This layer is interpreted as a deposit from stagnant water conditions or lacustrine sediment. The significant amount of clay-sized particles in this rock unit suggests that they were suspended for a prolonged period before aggregating and depositing. Based on the mineral compositions, mainly quartz and kaolinite, it indicates a warm and humid climatic condition during the deposition (Sattraburut, 2020).

Sedimentation continued with the formation of the Lower Lignite Zone Formation, which is the deepest part of the basin where organic-rich sediments were deposited. The sequence is completed with fine-grained lacustrine sediments and lignite, which were formed in a wet-forest swamp and moor. According to the palynological assemblage, the Lower Lignite Zone

corresponds to the Hongsa I zone and is dominated by broad-leaved trees in warm temperate climatic conditions. This is consistent with the presence of certain fungal taxa, such as *Exesisporites*.

The Middle Lignite Zone Formation comprises thick and high-quality coal seams. According to Sattraburut (2017), the lignite in this zone is mainly composed of humic coals, indicating relatively wet conditions during peat formation. Some fungal taxa, such as *Inapertisporites circularis*, can be associated with vascular plants in humid conditions. Based on the palynological zone, the lowest part of the Hongsa II zone is assigned to the Middle Lignite Zone Formation, which indicates subtropical climatic conditions in a forest swamp.

The Upper Lignite Zone Formation consists mainly of fine-grained organic-rich sediments and lignite. According to the palynological assemblage, this rock unit is assigned to the Hongsa II to the middle of the Hongsa III zones. From the bottom, it comprises a high amount of tropical to subtropical vegetation compared to the top, which is mostly composed of warm temperate trees, including conifers (Sattraburut, 2020). These data reinforce the hypothesis that the Hongsa area underwent a climatic change from tropical to warm temperate conditions, confirmed by some taxa that are only found within this rock unit. Most taxa of *Multicellites*, *Pluricellaesporites*, and *Quilonia* are commonly found within the Hongsa II zone. These taxa are relevant to shallow aquatic habitats and humid climates in the deposition area, indicating a subtropical environment.

The Overburden, which is the uppermost part of the succession, consists of both inorganic and some organic-rich sediments. Palynological studies reveal a significant quantity of conifers and temperate-like plants, reflecting the warm temperate climate assigned to the Hongsa III zone. Fungal spores found in this unit also reflect a warm and humid climate.

Overall, the depositional scenario of the Hongsa Basin can be envisaged as follows. The basin was formed due to extensional stress resulting from tectonic activity in Southeast Asia during the Tertiary. The basin displays a succession of sedimentary facies ranging from lacustrine deposits to forest swamps with occasional flooding and overbank deposits under subtropical to warm and humid conditions. The prevalence of fine-grained sediment points to the low-energy transportation of current flow. Sediment sources in the Hongsa Basin were derived from both within and outside the basin as a result of the uplifting of basement rocks. The Neogene succession was overlain by Quaternary fluvial and alluvial coarse clastic sediments.

CONCLUSIONS

The study of Neogene successions from the Hongsa Basin in the Lao PDR reveals the first detailed record of well-preserved fungal spores. The assemblages suggest a warm and humid climate of forest swamps or shallow pond-like habitats colonized by sub-tropical to warm temperate evergreen and deciduous vegetation. Changes in relative abundance and diversity of fungal spores indicate that the Hongsa area underwent paleoenvironmental fluctuations during the deposition period. These fungal assemblages also serve as a stratigraphic indicator for the deposit age of the Miocene, in accordance with palynomorphs of vascular plants.

ACKNOWLEDGMENTS

The authors express their gratitude to Hongsa Power Co. Ltd. for granting permission and providing access to the information and data on the Hongsa coal mine, as well as the core samples used in this study. Authors also thank the Northeastern Research Institute of Petrified Wood and Mineral Resources (In Honour of His Majesty the King), Nakhon Ratchasima Rajabhat University, Thailand, and the Department of Geological Sciences, Faculty of Science, Chiang Mai University, Thailand, for their technical support in the laboratories. The first author would like to thank Dr. Wipanu Rukmai for teaching the palynomorph preparation procedures. Finally, the authors would like to express their appreciation to the anonymous reviewers for their careful reading of the manuscript and their insightful comments and suggestions.

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Appendix

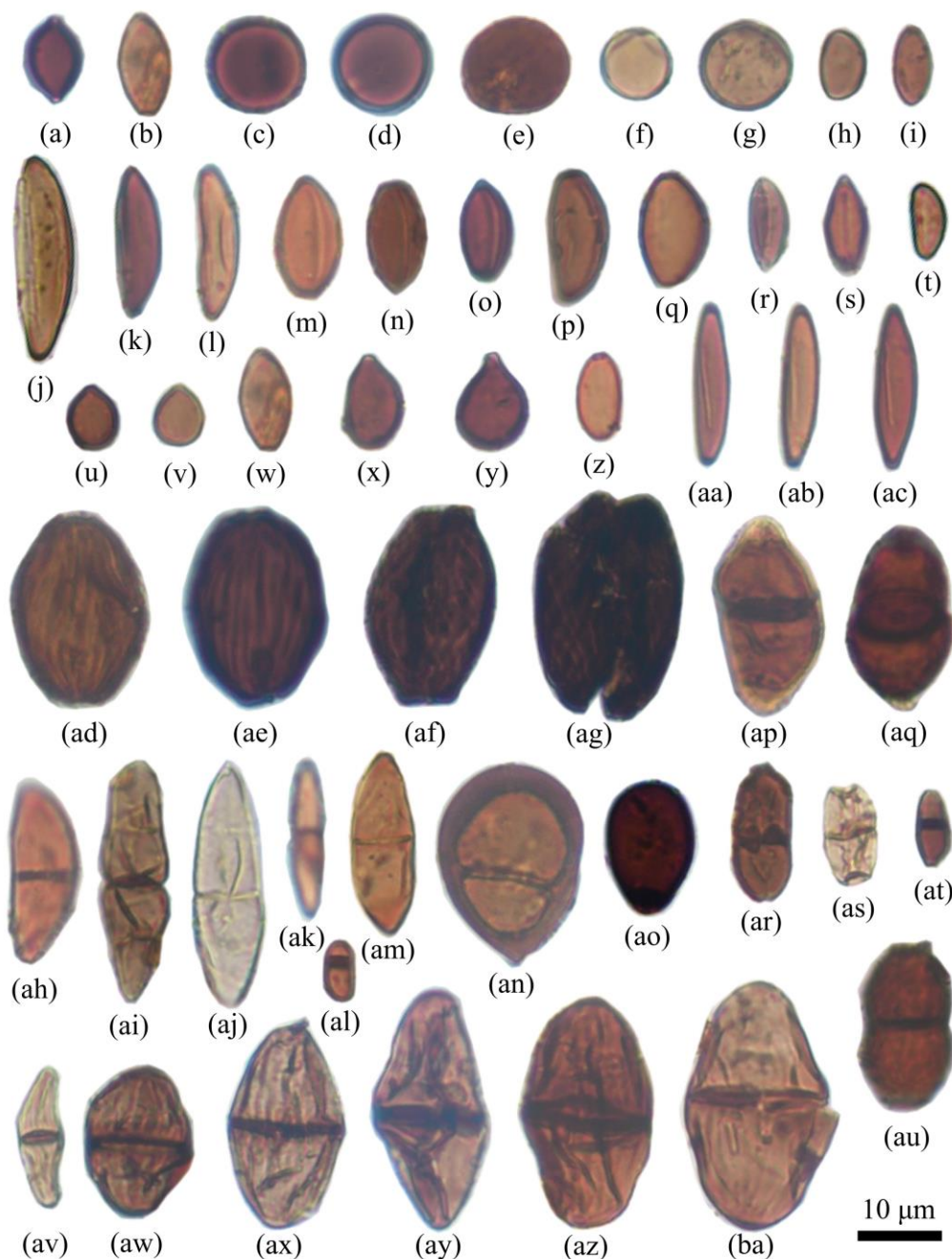


PLATE 1. Photomicrographs of selected Amerosporae and Didymosporae spores (graphic scale equivalents to 10 μ m). Depth (m), coal seams, and sample numbers are provided (details are separated by ‘/’). (a) *Diporisporites ellipsoides* (187.00/G3/75); (b) *Diporisporites elongatus* (94.80/I1/36); (c-d) *Exesisporites neogenicus* (c: 187.00/G3/75, d: 187.00/G3/75); (e) *Exesisporites* sp. cf. *E. annulatus* (290.85/C3/91); (f-g) *Inapertisporites circularis* (f: 187.00/G3/75, g: 187.00/G3/75); (h) *Inapertisporites elongatus* (192.00/G3/76); (i) *Inapertisporites ovalis* (205.20/G2/78); (j-k) *Hypoxylonites africanus* (j: 10.25/OB/04, k: 131.25/HI/53); (l) *Hypoxylonites gulfensis* (131.25/HI/53); (m-o) *Hypoxylonites ellipsoideus* (m: 151.90/H2/63, n: 264.75/D1/85, o: 187.00/G3/75); (p) *Hypoxylonites* sp. (212.75/G1/81); (q) *Hypoxylonites ovalis* (187.00/G3/75); (r-s) *Hypoxylonites fusiformis* (r: 290.85/C3/91, s: 151.90/H2/63); (t) *Hypoxylonites* sp. cf. *H. curvatus* (10.25/OB/04); (u-v) *Monoporisorites neoglobosus* (u: 212.75/G1/81, v: 212.75/G1/81); (w) *Monoporisorites oviformis* (94.80m/I1/36); (x-y) *Monoporisorites* sp. (x: 217.20/F2/82, y: 192.00/G3/76); (z) *Monoporisorites* sp. cf. *M. abruptus* (264.75/D1/85); (aa-ac) *Spirotremesporites* sp. cf. *S. disciformis* (aa: 131.25/HI/53, ab: 131.25/HI/53, ac: 131.25/HI/53); (ad-ae) *Striadiporites bistriatus* (ad: 73.75I2/27, ae: 118.90/HI/48); (af-ag) *Striadiporites reticulatus* (af: 118.90/HI/48, ag: 118.90/HI/48); (ah) *Dicellaesporites aculeolatus* (148.30/H2/60); (ai) *Dicellaesporites elongatus* (131.25/HI/53); (aj) *Dicellaesporites perelongatus* (131.25/HI/53); (ak) *Dicellaesporites* sp. (187.00/G3/75); (al) *Dicellaesporites* sp. cf. *D. africanus* (296.35/C2/92); (am) *Dicellaesporites littoralis* (151.90/H2/63); (an) *Didymoporisoronites discitipicus* (40.60/I3/09); (ao) *Didymoporisoronites pashanensis* (40.60/I3/09); (ap) *Dyadosporites hilatus* (151.90/H2/63); (aq) *Dyadosporites inaequalis* (148.30/H2/60); (ar-as) *Dyadosporites okayi* (ar: 252.20/E1/83, as: 212.75/G1/81); (at) *Dyadosporites subovalis* (290.85/C3/91); (au) *Dyadosporites reticulatus* (148.30/H2/60); (av) *Fusiformisporites elongatus* (187.00/G3/75); (aw, ay) *Fusiformisporites* sp. (aw: 131.25/HI/53, ay: 89.90/I1/33); (ax) *Fusiformisporites rugosus* (140.20/H3/58); (az) *Fusiformisporites lineolatus* (296.35/C2/92); (ba) *Fusiformisporites mackenziei* (173.75/H2/69). OB = Overburden, HI = soil parting between seams H and I.



PLATE 2. Photomicrographs of selected Phragmosporae spores (graphic scale equivalents to 10 μ m). Depth (m), coal seams, and sample numbers are provided (details are separated by '/'). (a) *Anatolinites* sp. (210.50/G1/80); (b) *Brachysporisporites* sp. (192.00/G3/76); (c) *Diporicellaesporites acuminatus* (10.25/OB/04); (d-g) *Diporicellaesporites* sp. (d: 151.90/H2/63, e: 6.55/OB/02, f: 6.55/OB/02, g: 40.60/I3/09); (h) *Diporicellaesporites ellipticus* (118.90/HI/48); (i) *Fractisporonites canalis* (10.25/OB/04); (j) *Fractisporonites* sp. (131.25/HI/53); (k) *Multicellites fusus* (151.90/H2/63); (l-m) *Multicellites grandiusculus* (l: 131.25/HI/53, m: 131.25/HI/53); (n-o) *Multicellites* sp. (n: 187.00/G3/75, o: 180.75/H1/73); (p) *Multicellaesporites* sp. (107.25/HI/41); (q) *Pluricellaesporites patagonicus* (107.25/HI/41); (r) *Pluricellaesporites* sp. cf. *P. delicatus* (151.90/H2/63); (s) *Pluricellaesporites* sp. cf. *P. serratus* (200.20/G2/77); (t) *Pluricellaesporites* sp. cf. *P. psilatus* (131.25/HI/53); (u) *Pluricellaesporites* sp. (131.25/HI/53); (v) *Quilonia multicellata* (131.25/HI/53); (w) *Quilonia* sp. (212.75/G1/81); (x) *Quilonia* sp. cf. *Q. attenuata* (131.25/HI/53); (y-ad) *Scolecospirites* sp. (y: 94.80/I1/36, z: 73.75/I2/27, aa: 86.35/I1/32, ab: 114.15/HI/45, ac: 10.25/OB/04; ad: 140.20/H3/58). OB = Overburden, HI = soil parting between seams H and I.

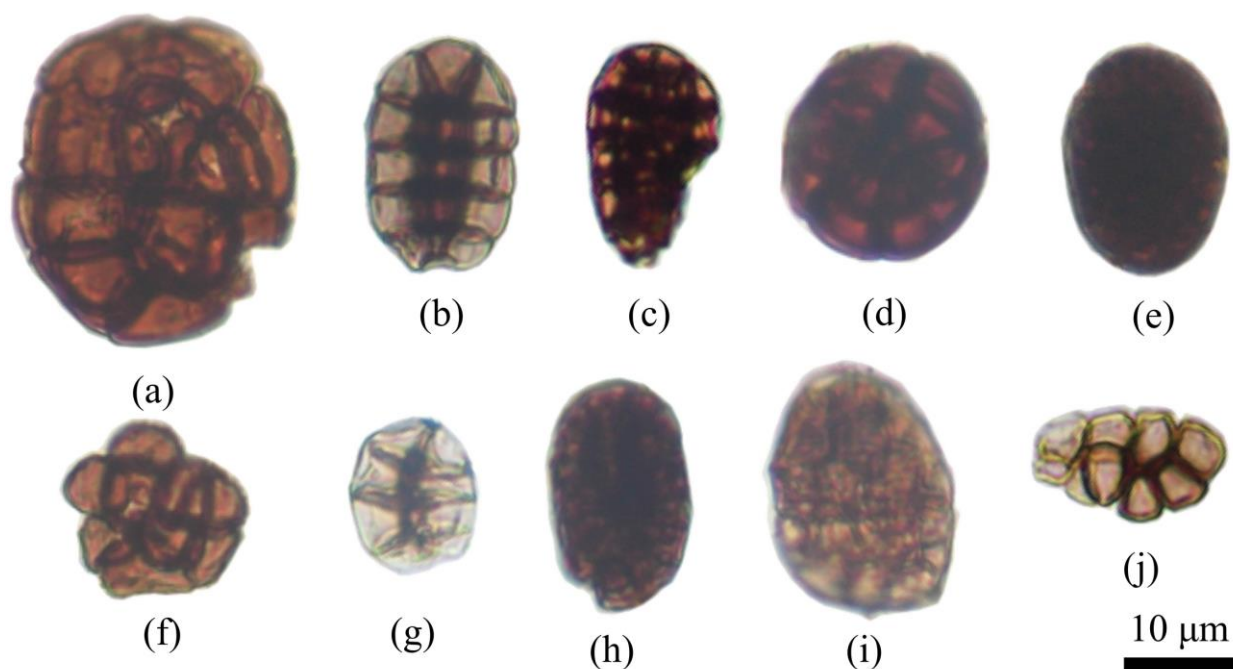


PLATE 3. Photomicrographs of selected Dictyosporae spores (graphic scale equivalents to 10 μm). Depth (m), coal seams, and sample numbers are provided (details are separated by '/'). (a) *Dictyosporites moruloides* (148.30/H2/60); (b-c) *Dictyosporites ovalis* (b: 131.25/HI/53, c: 10.25/OB/04); (d-e) *Dictyosporites* sp. (d: 107.25/HI/41, e: 68.95/I2/22); (f) *Dictyosporites tirumalacharii* (192.00/G3/76); (g) *Dictyosporites* sp. cf. *D. dictyosus* (187.00/G3/75); (h) *Dictyosporites* sp. cf. *D. ovoideus* (131.25/HI/53); (i) *Dictyosporites* sp. cf. *D. symmetricus* (94.80/I1/36); (j) *Staphlosporonites irregularis* (10.25/OB/04). OB = Overburden, HI = soil parting between seams H and I.